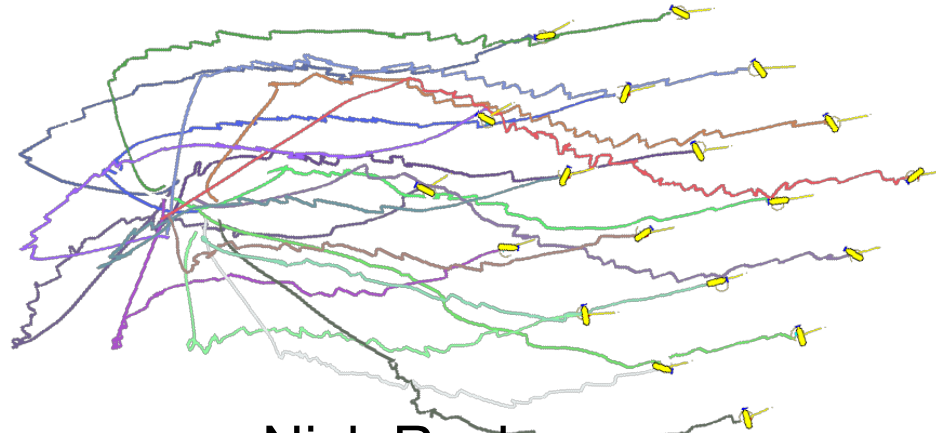


Distributed Autonomy and Formation Control of a Drifting Swarm of Autonomous Underwater Vehicles



Nick Rypkema

MIT/WHOI Joint Program (rypkema at mit dot edu)

Henrik Schmidt

MIT Laboratory for Autonomous Marine Sensing Systems

Motivation and Goals

- Use a 'swarm' of AUVs to sample oceanographic processes, e.g:
 - Monitor dynamic phenomena such as phytoplankton blooms over spatial grid
 - Use swarm as a 'virtual' acoustic receiver array for seismic surveying and detection of acoustic radiation
- Improve mission endurance by utilizing ocean currents to propel the swarm
- Investigate distributed formation control behaviours and implement with associated infrastructure in MOOS-IvP
- Implement MOOSApp for efficient batch request of simulated ocean data from MSEAS NetCDF files for realistic ocean currents



Swarm Robotics

- Application of Swarm Intelligence concepts to multi-robot systems – how collective behavior of a multi-robot system emerges from local agent-agent and agent-environment interaction ¹.
- Often inspired by biological systems, e.g. ants, bees, bird flocks, fish schools, bacteria ².
- **Advantages:** greater sensing capability, robustness against mission failure, parallelization of mission tasks, adaptable & scalable, cost effective.
- **Disadvantages:** command & control is difficult, how to deploy and retrieve, emergent behavior difficult to predict.
- **Design considerations - architecture and application:**

Architecture:

- Control – centralized vs decentralized vs distributed
- Agents – homogeneous vs heterogeneous
- Communication – completely connected vs locally connected (range based?)

Application (how to address mission):

- Behaviors – aggregation, dispersion, task allocation, coordinated collective motion, object transportation, collective exploration and mapping, pattern formation, etc.



Massachusetts Institute of Technology

Swarm Robotics Underwater

- **Underwater Environment Considerations:**

- Acoustic Communication:

- Problem: highly limited by low bandwidth and intermittency (multipath, ambient noise, attenuation), plus message collision due to large number of agents
 - Solution: control strategies that minimize communication are highly advantageous

- Localization:

- Problem: no GPS, acoustic positioning infrastructure such as USBL/SBL/LBL unwieldy, accurate INS expensive
 - Solution: agents navigate relative to neighbours (local frame of reference) + postprocessing

- **AUV Swarm Design Considerations:**

- Architecture:

- Control – distributed (acoustic comms insufficient for central control)
 - Agents – homogeneous (single-type low-cost AUVs, e.g. biological sensors or acoustic sensors)
 - Communication – short-range locally connected (acoustic comms less reliable at longer ranges)

- Application (how to address mission):

- Behaviors – pattern/lattice formation control



Distributed Formation Control

- Pattern/lattice formation control – behaviors that produce and control well defined geometric patterns of agents in the swarm (reviews of formation control strategies available from E. Bahceci (2003), Y.Q. Chen (2005)).

- **Several type of approaches:**

Physics-Based:

- Inspired by the physics of atoms, crystals, or springs – uses virtual forces to coordinate the movement of agents
- W. Spears (2004), C. Pinciroli (2008), V. Gazi (2002), K. Fujibayashi (2002), B. Shucker (2007), etc.

Potential Field:

- Similar to physics-based, but uses global rather than local potential fields to move agents into desired formation shapes
- R. Bachmayer (2002), L. Chaimowicz (2005), etc.

Virtual Structure:

- Formation is treated as a single rigid body with agents as vertices – structure is defined and agents maintain a rigid geometrical relationship
- M.A. Lewis (1997), C. Belta (2001), etc.

Leader-Follower:

- Hierarchy of agents is defined in the formation, and followers attempt to maintain formation with their leader(s) – leader(s) follow a prescribed path, or their own leader(s)
- J.P. Desai (2001)

- Very minimal work on underwater swarms, even less on underwater formation control – existing literature is mostly simulation (e.g. Z. Hu (2014) formation control with restricted information exchange, S. Kalantar (2007) physics-based shape control, J. Shao (2006) leader-follower formation control of biomimetic fish) or small scale experiments with custom-made miniature vehicles (e.g. A. Amory (2013) MONSUN II, T. Schmickl (2011) CoCoRo)
- No work using conventional torpedo-shaped AUVs – potential for significant impact in this field!



Massachusetts Institute of Technology



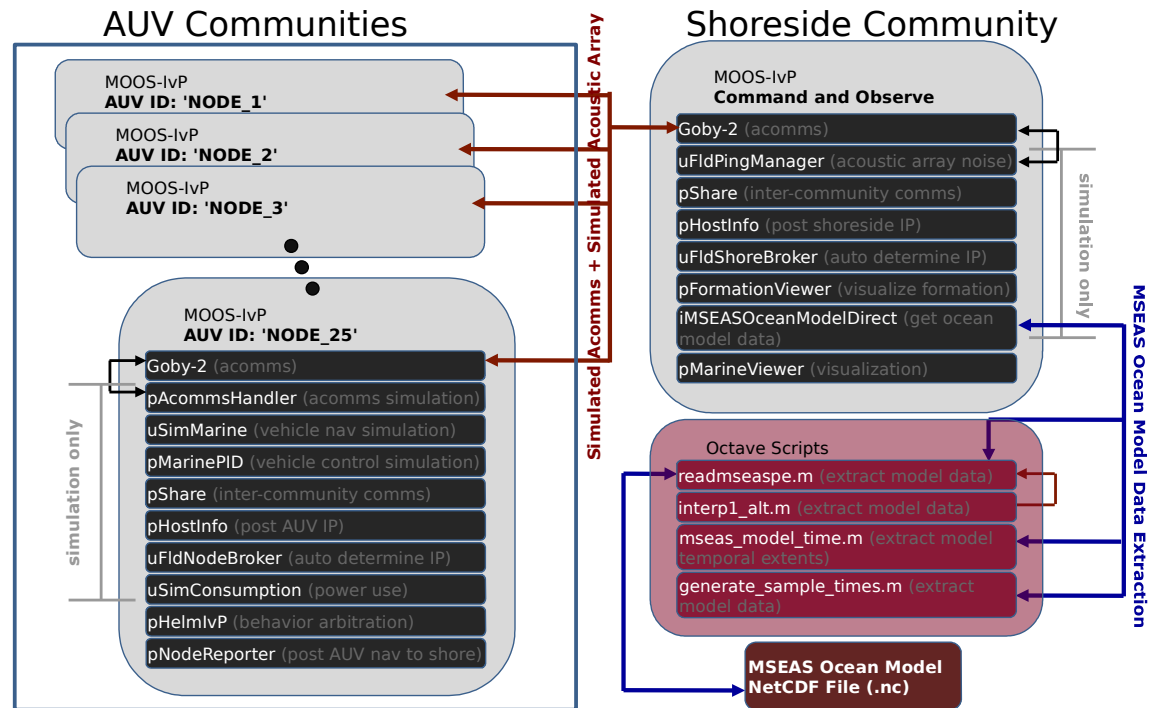
Image: MONSUN vehicles



Image: CoCoRo vehicle

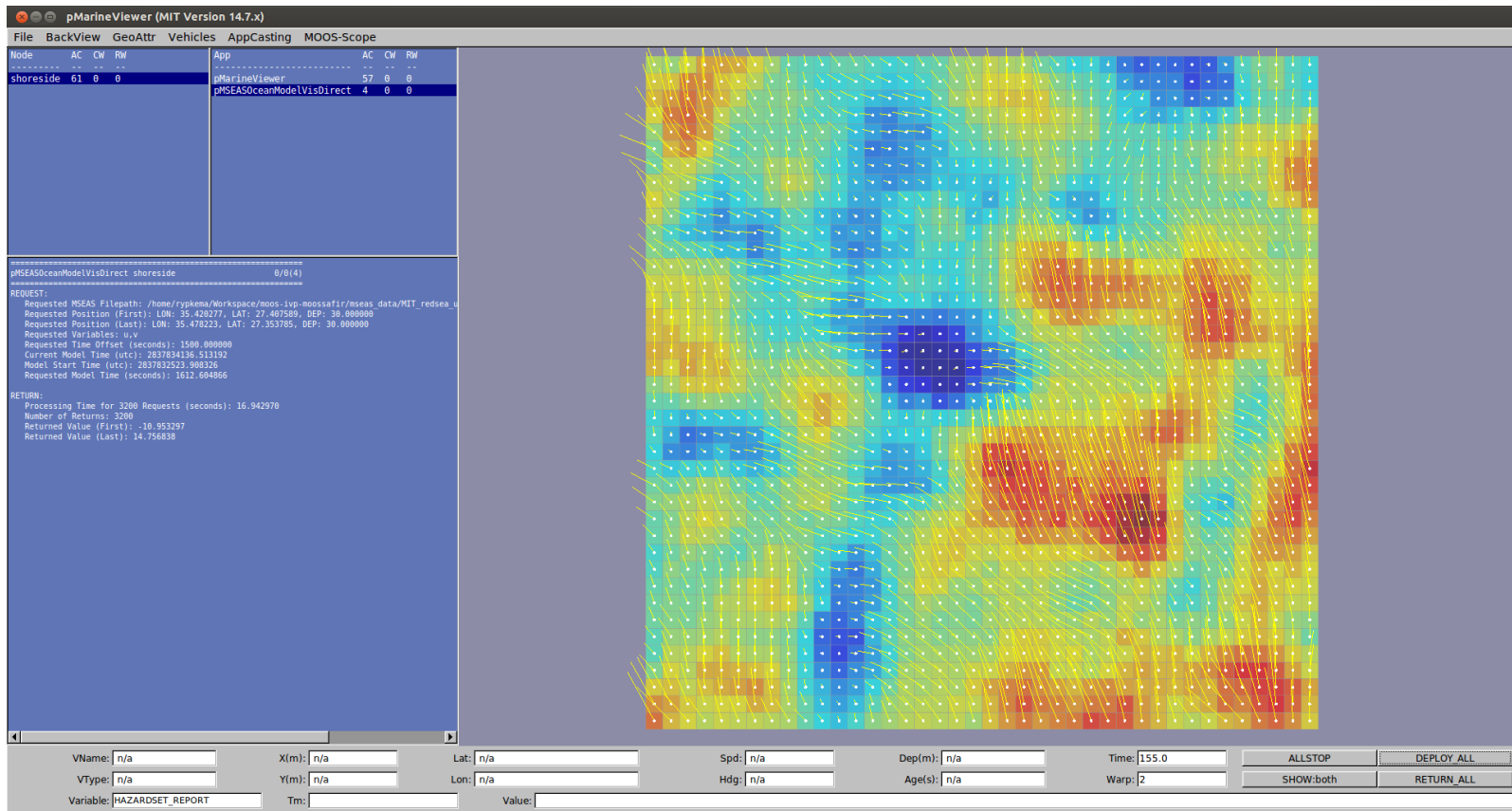
Approach – Simulation Infrastructure

- **Simulation:** MOOS Community for each AUV (vehicle dynamics/control, formation behaviors, energy consumption, etc.). MOOS 'shoreside' community (simulate acoustic comms, ocean currents, formation quality, etc.).
- **Behaviours:** 4 target-based behaviours for formation control, requiring bearing & range to neighbors, 2 require communication of unique vehicle IDs, 3 require user-specified plan. AUVs constantly reposition to a relative target calculated via locations of nearest neighbors.



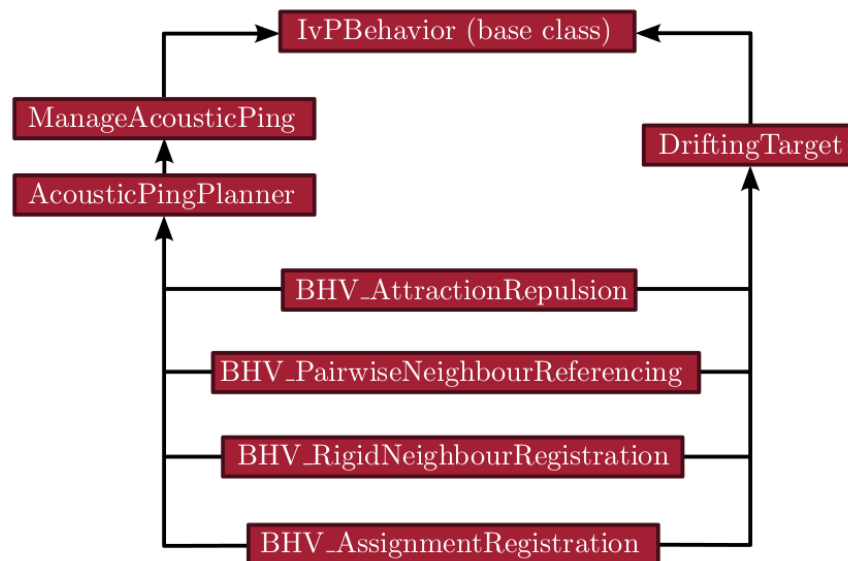
iMSEASOceanModelDirect

- MOOS-MSEAS interface for batch requests of ocean model data:
 - Uses an Octave translation of existing MSEAS Matlab script to perform multiple data requests with a single call



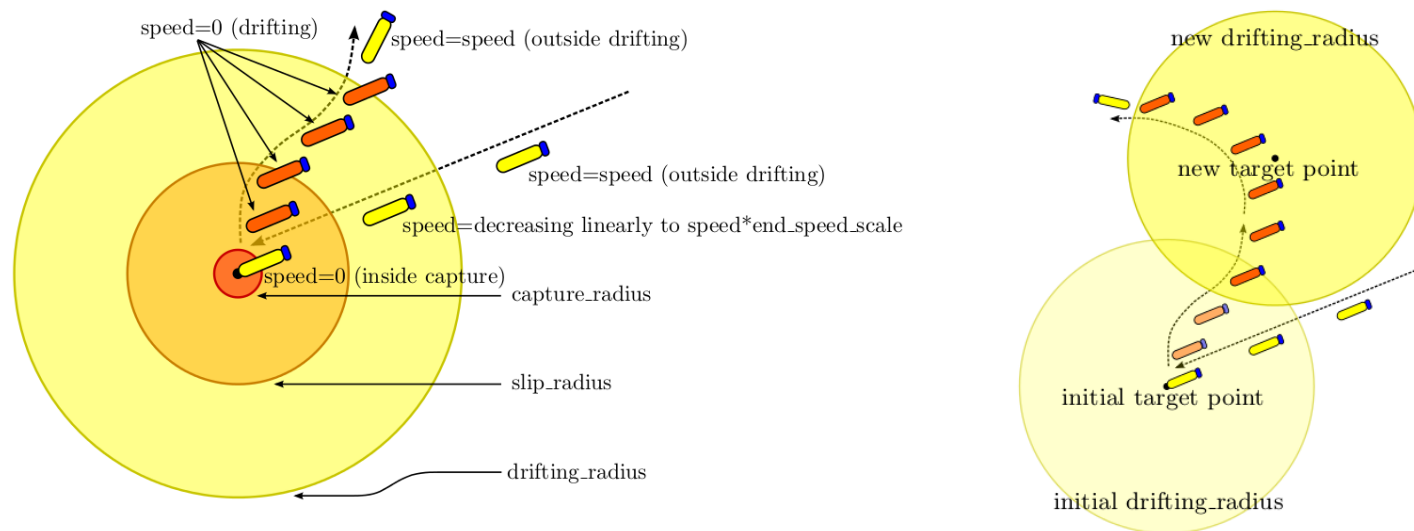
Behaviour Class Hierarchy

- Each formation control behaviour inherits functionality from:
 - DriftingTarget: directs AUV to optimal position in the formation
 - ManageAcousticPing: handles incoming acoustic pings (setting relative positions of neighbours)
 - AcousticPingPlanner: allows user to specify desired formation plan



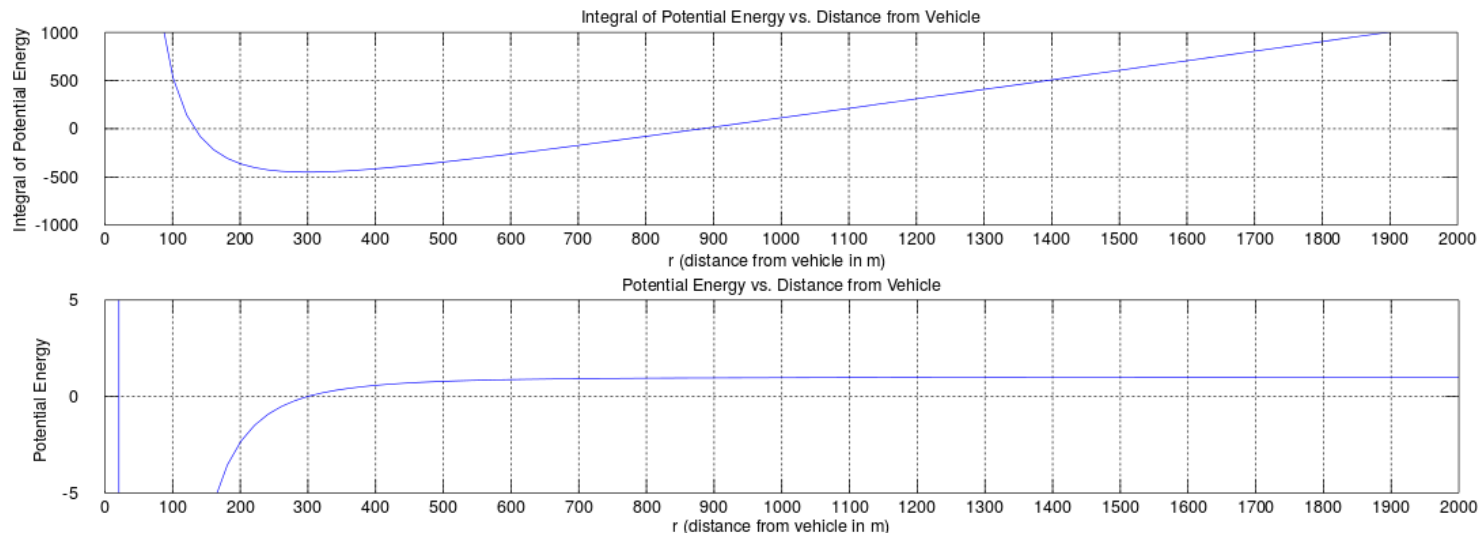
DriftingTarget Behaviour

- Used to direct AUV to relative x/y position:
 - Hybrid of existing Waypoint and StationKeep behaviours
 - Trade-off between formation 'quality' and energy expenditure – smaller drifting radius forces AUVs to conform more tightly, but readjusts more often



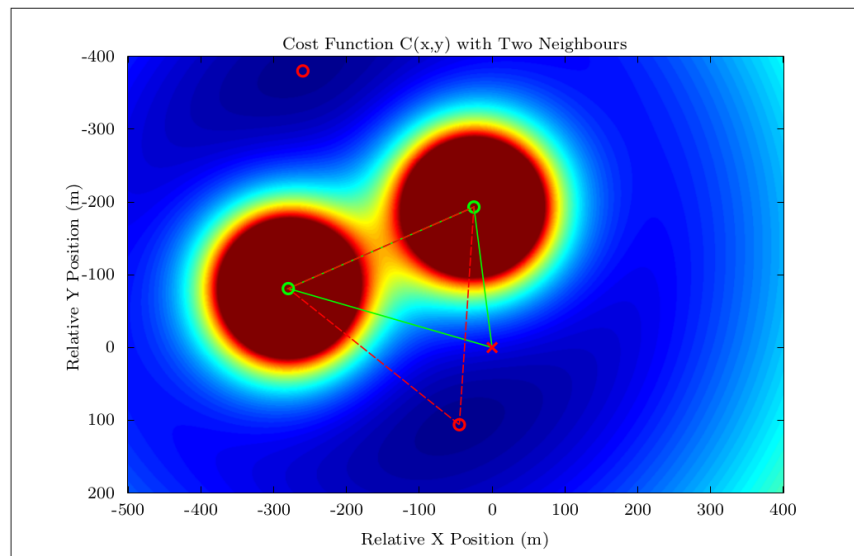
Formation Control 1 - BHV_AttractionRepulsion

- Inspired by existing physics-based approaches (atomic attraction/repulsion):
 - Only requires range/bearing to neighbours
 - Existing approaches use potential function (e.g. Lennard-Jones) to attract/repel neighbours – I use constant attraction/unbounded repulsion
 - I instead use integral of potential function, and perform direct non-linear optimization over surface using NLOpt library



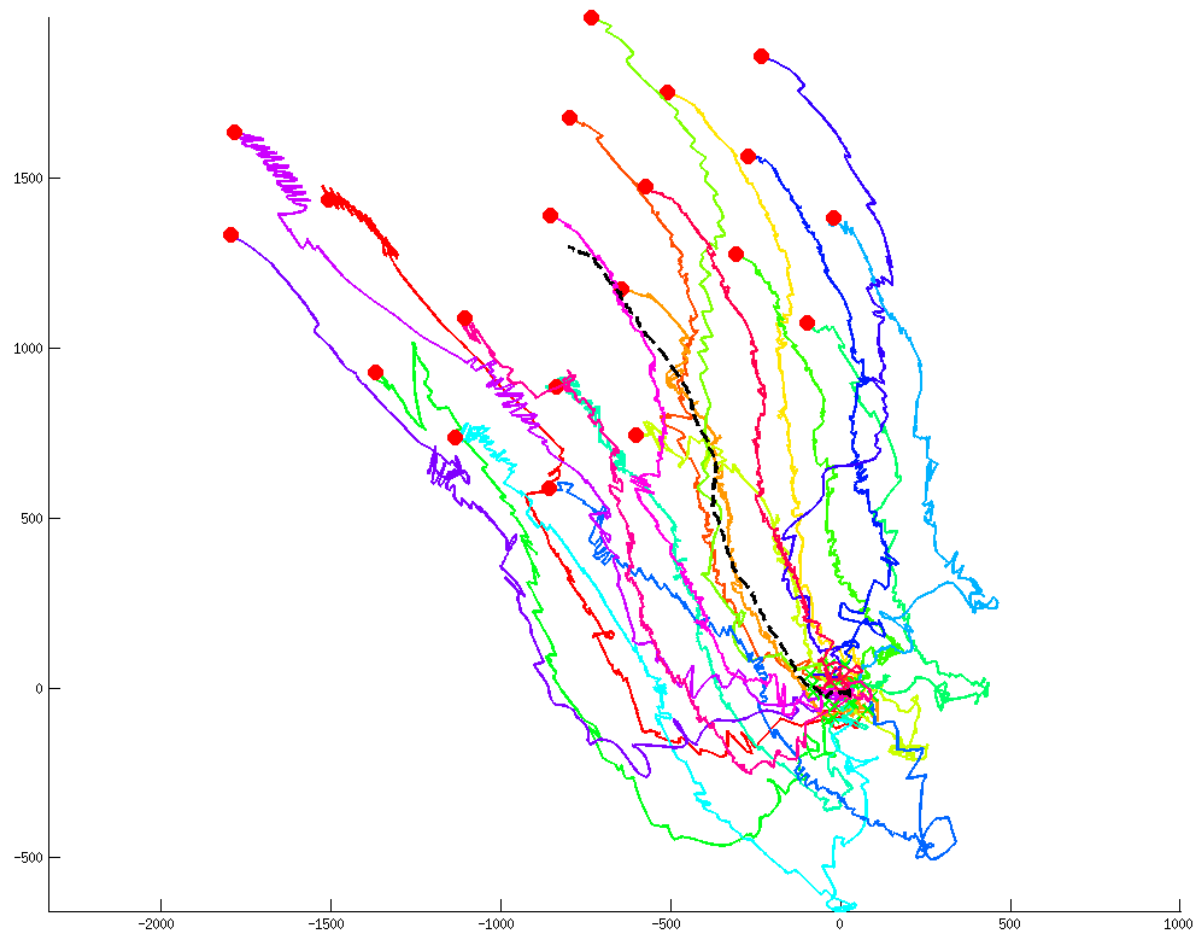
Formation Control 1 - BHV_AttractionRepulsion

- Using all neighbours within a radius results in 'defects' caused by different summations of cost surfaces depending on number of neighbours
- Instead use only 2 neighbours – first selected as nearest, second selected such that sum of triangle edges is minimum



$$C(x, y) = \sum_{(x_i, y_i) \in N_s} \left(\frac{s^3}{2 \cdot (\sqrt{(x - x_i)^2 + (y - y_i)^2})^2} \right) + ((\sqrt{(x - x_i)^2 + (y - y_i)^2}) - 3 \cdot s) \\ + 1e^{-5} \cdot \left(\sqrt{\left(x - \frac{\sum_{j=1}^n (x_j)}{n} \right)^2 + \left(y - \frac{\sum_{j=1}^n (y_j)}{n} \right)^2} \right) \quad (3.7)$$

Formation Control 1 - BHV_AttractionRepulsion



Formation Control 2 - BHV_PairwiseNeighbourReferencing

- What can we do if we exchange globally unique IDs? Simple geometric approach:
 - Each pair of neighbours can be used as a reference axis – given a desired formation, each pair gives a relative target – use centroid of all targets

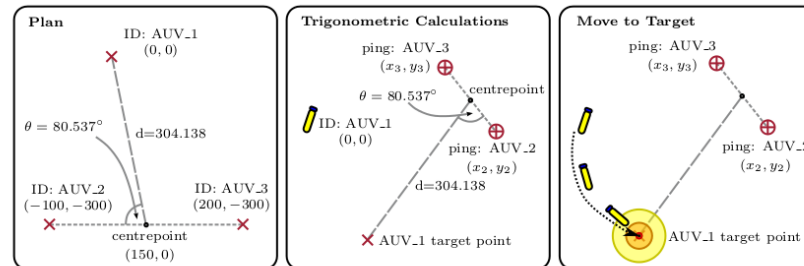


Figure 3.10: Illustration of the geometric principles behind BHV_PairwiseNeighbourReferencing running on AUV_1 for a single neighbour pair (AUV_2, AUV_3).

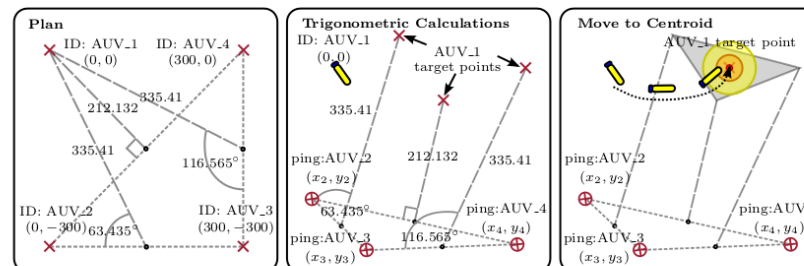
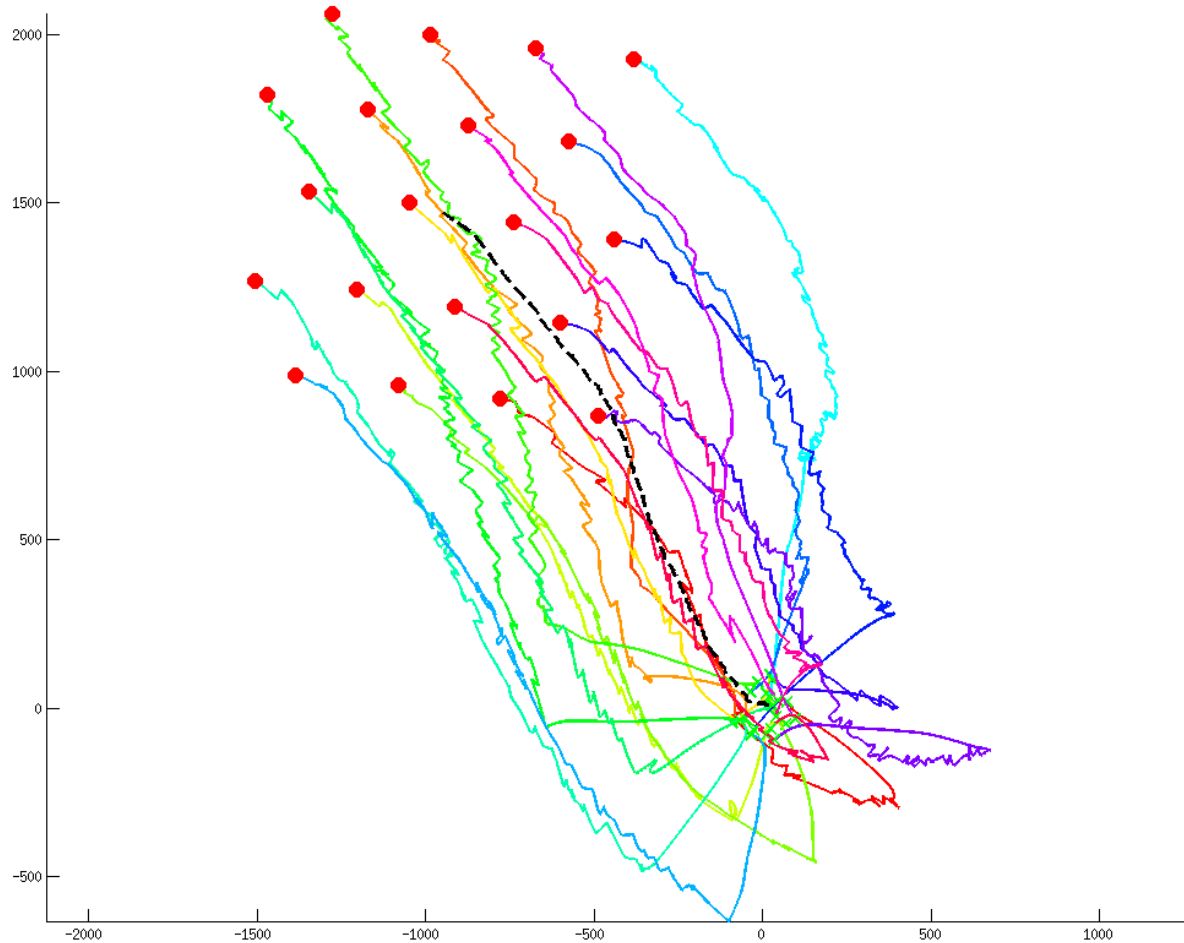


Figure 3.11: Illustration of the geometric principles behind BHV_PairwiseNeighbourReferencing running on AUV_1 for three neighbour pairs (AUV_2, AUV_3), (AUV_3, AUV_4) and (AUV_2, AUV_4).

Formation Control 2 - BHV_PairwiseNeighbourReferencing



Formation Control 3 - BHV_RigidNeighbourRegistration

- Can we improve? Inspired by ICP algorithm used to align point clouds – in our case, point correspondences are set explicitly, so just need to calculate optimal rigid transformation:
 - Orthogonal Procrustes/Rigid Point Set Registration problem, explicit solution using SVD available
 - Aligns two point sets (actual neighbour positions, and planned formation positions) optimally in the least-squares sense
 - Armadillo linear algebra library used in implementation

$$(R, \vec{t}) = \operatorname{argmin}_{R, \vec{t}} \sum_{i=1}^n w_i \left\| \left(R \begin{bmatrix} x_{pi} \\ y_{pi} \end{bmatrix} + \vec{t} \right) - \begin{bmatrix} x_i \\ y_i \end{bmatrix} \right\|^2$$



Formation Control 3 - BHV_RigidNeighbourRegistration

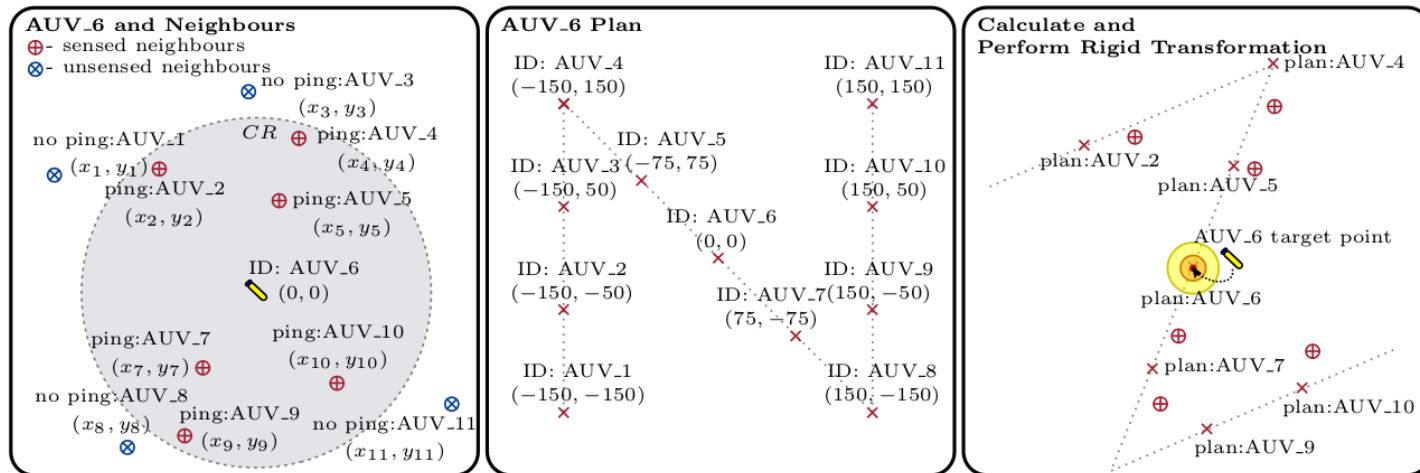
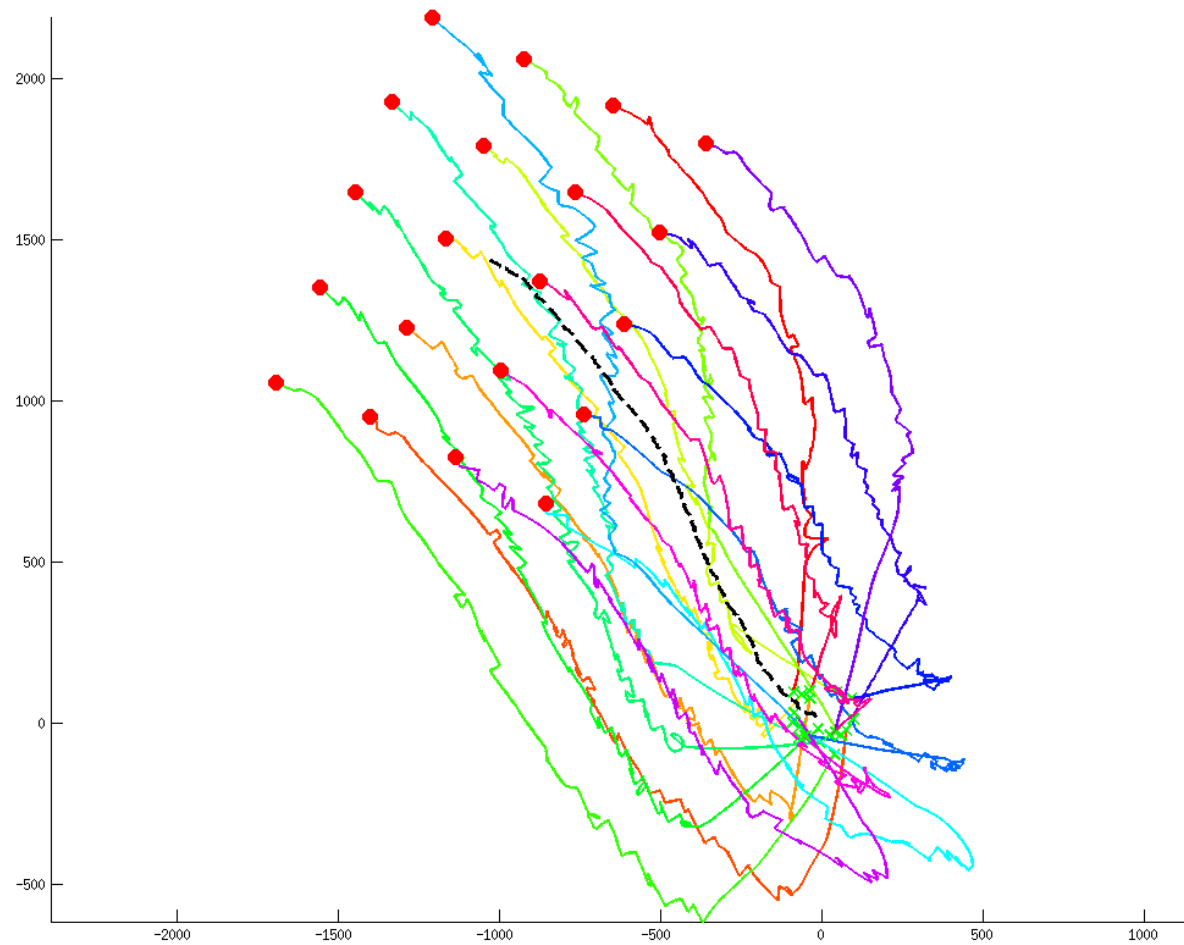


Figure 3.13: Illustration of the operational principles of BHV_RigidNeighbourRegistration; for the neighbours within the vehicles CR , the corresponding points from the plan are rotated and translated to best fit the actual neighbour positions (the CR is reduced for illustrative purposes).

Formation Control 3 - BHV_RigidNeighbourRegistration



Formation Control 4 - BHV_AssignmentRegistration

- Is it possible to dynamically assign AUVs to positions in the formation plan?:
 - Given a set of neighbour positions, we must determine which point in the plan the AUV is most suited to, using only these positions
 - This allows us to no longer require the communication of unique IDs, but still allows us to specify a desired lattice formation (unlike BHV_AttractionRepulsion)
 - My approach is brute force (next slide)

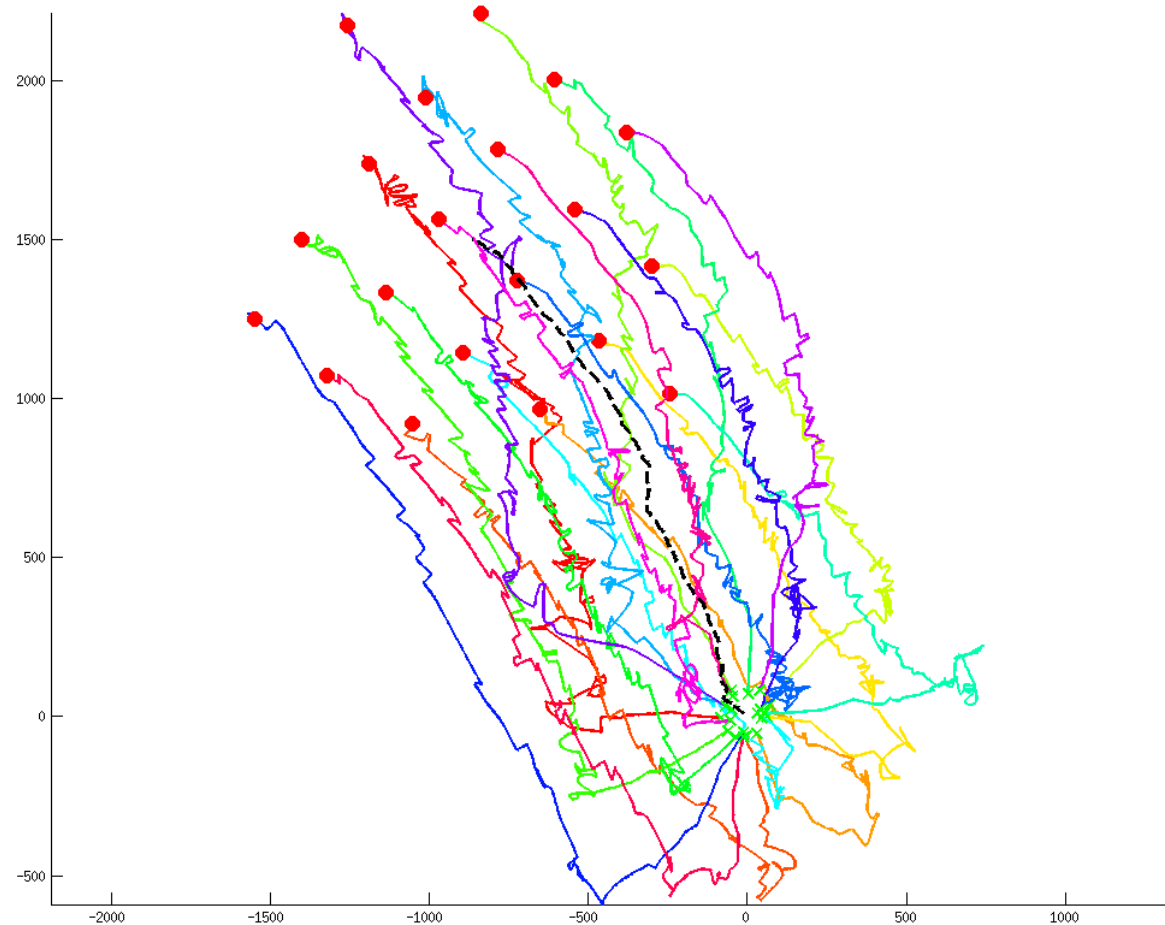


Formation Control 4 - BHV_AssignmentRegistration

Outer Loop:

1. Given the set N of n neighbours + ownship, loop through all points in the plan
2. For each point, select it plus the n nearest points to it, giving us N_p
3. Align N and N_p by subtraction of centroids
4. Inner Loop:
 - a) N is rotated by a specified angle $\Delta\theta$, giving N_θ
 - b) Create a cost matrix specified by the distance between points in N_p and N_θ , feeding this to the Hungarian algorithm to determine optimal assignment – if the cost is smaller than the previous N_θ , keep it
 - c) Loop terminates after full rotation with a minimum cost with corresponding assignment and N_θ
5. Outer loop terminates after going through all points in the plan – the lowest cost point in the plan is selected along with the corresponding N_p and assignment, and N_p is rearranged according to this assignment
6. Finally, the optimal rigid transformation between N_p and N is calculated as done in BHV_RigidNeighbourRegistration

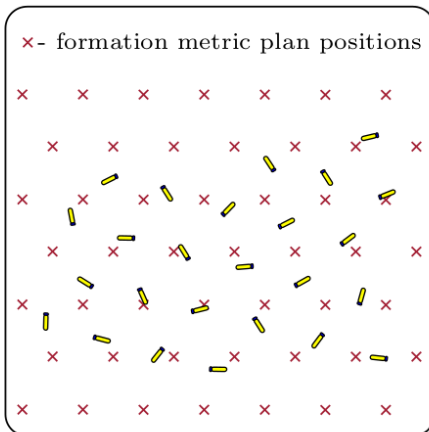
Formation Control 4 - BHV_AssignmentRegistration



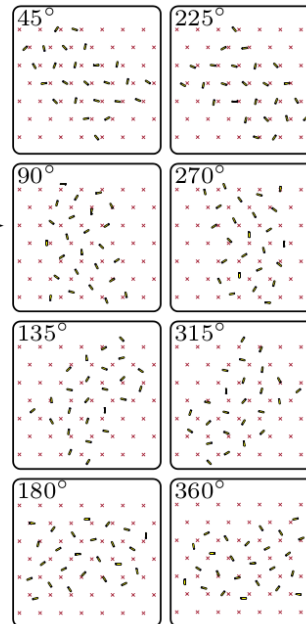
pFormationQualityMetric

- Formation quality metric used to compare how well each behaviour conforms to the desired formation:
 - Similar approach to BHV_AssignmentRegistration, but with all vehicles

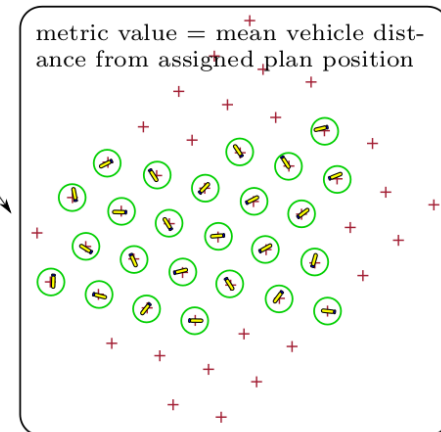
Centroid-aligned formation metric plan N_p and vehicle positions N



Rotate N and perform Hungarian algorithm to determine optimal assignment ($\delta\theta = 45^\circ$)

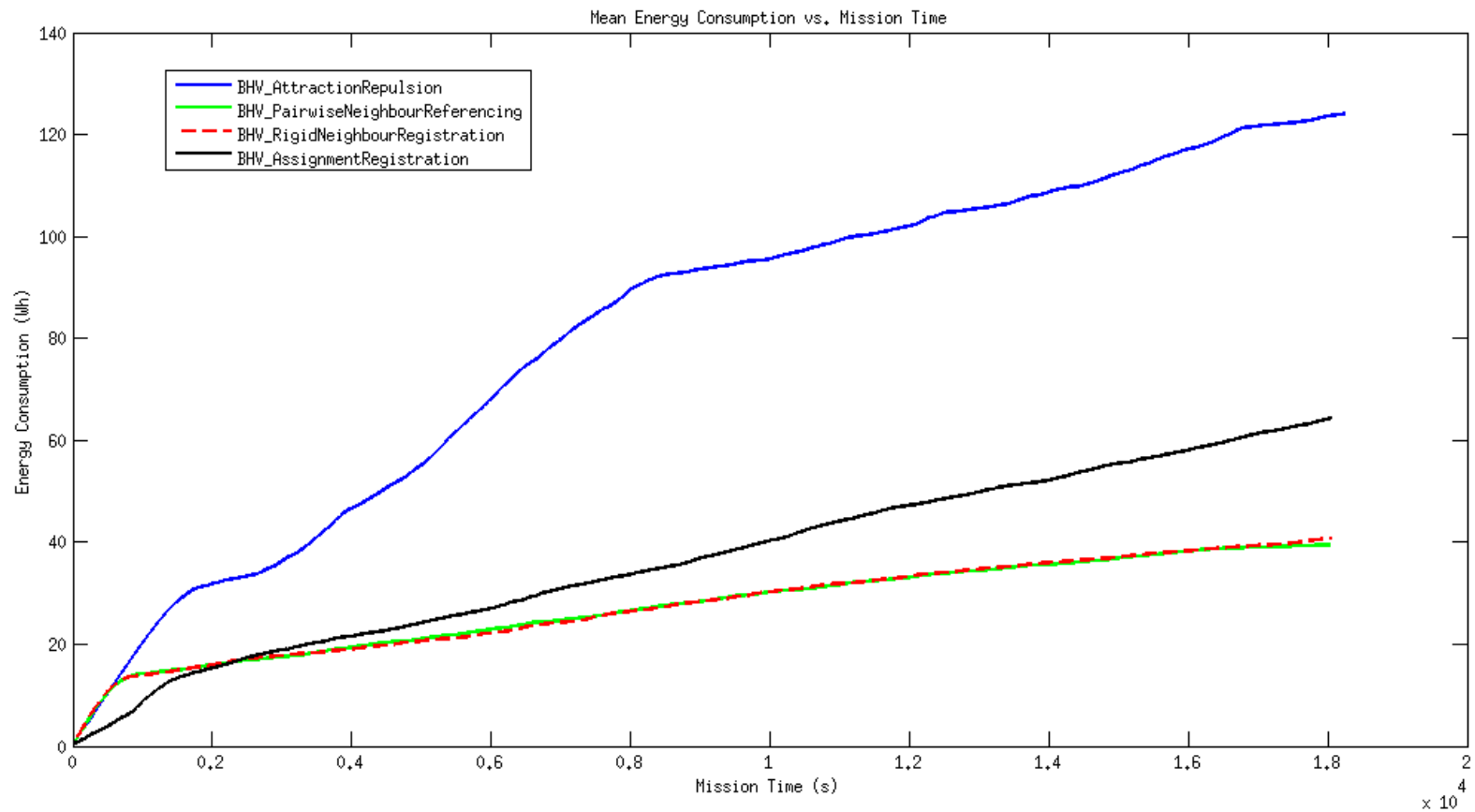


Select rotation and assignment with minimum cost and perform optimal rigid transformation on N_p



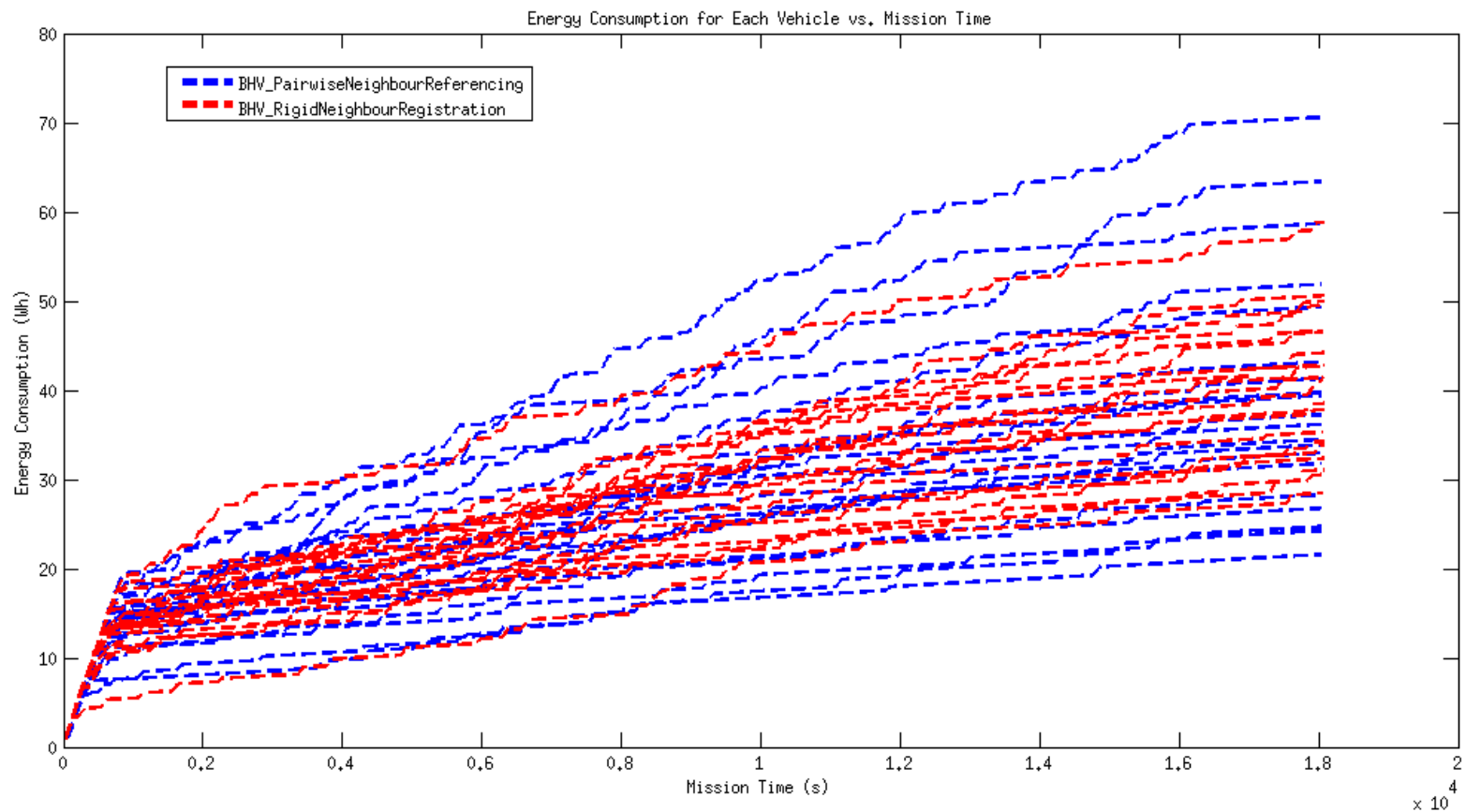
Preliminary Results – Energy Consumption

- Single trial, energy consumption (averaged over all AUVs) vs mission time



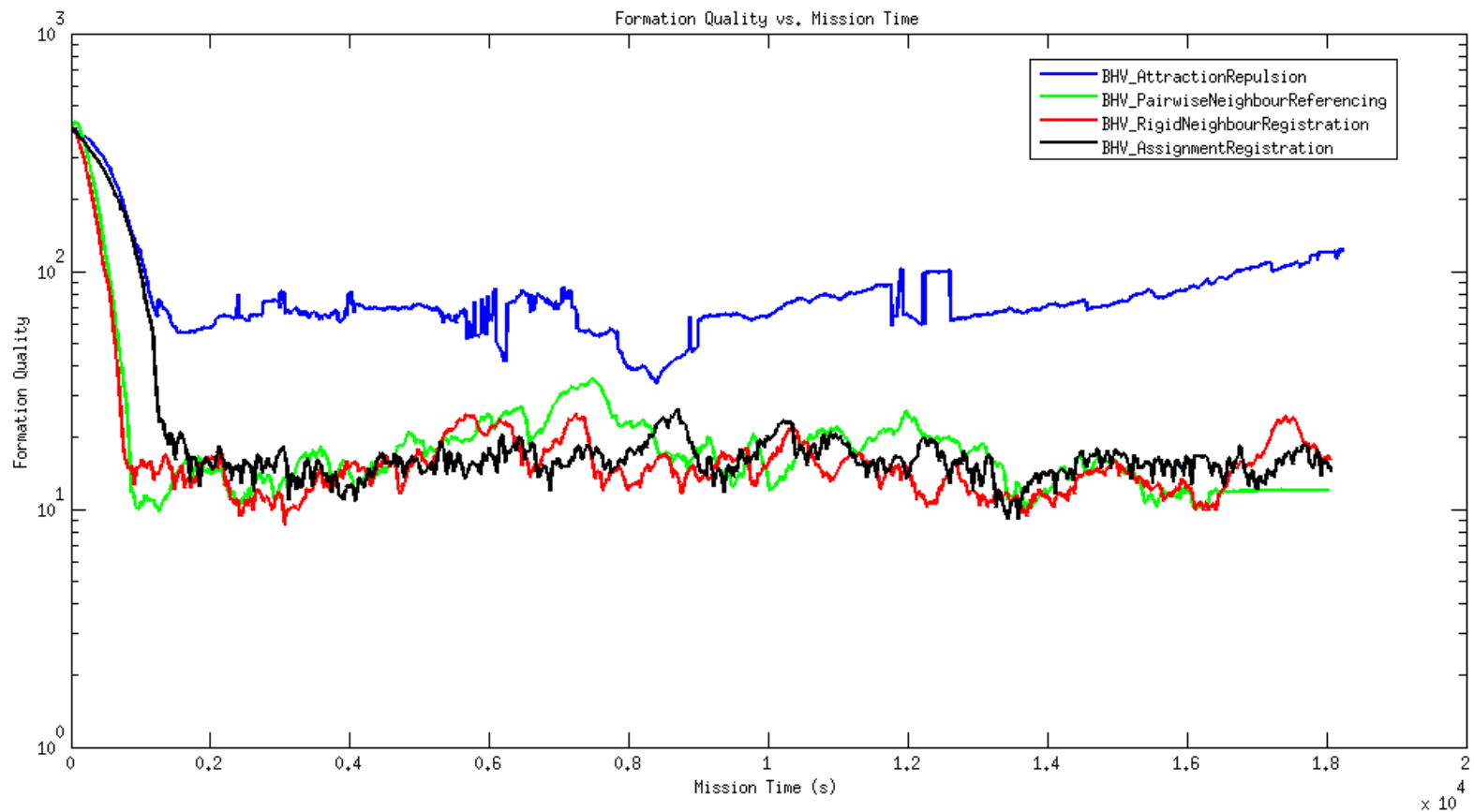
Preliminary Results – Energy Consumption

- BHV_PairwiseNeighbourReferencing vs. BHV_RigidNeighbourRegistration



Preliminary Results – Formation Quality

- Single trial, formation quality vs mission time



Conclusion and Future Work

- Four formation control behaviours + iMSEASOceanModelDirect:
 - BHV_AttractionRepulsion, BHV_PairwiseNeighbourReferencing, BHV_RigidNeighborRegistration, BHV_AssignmentRegistration
- Field Trials using simulated acoustic comms and Kingfisher ASCs
- Master's Thesis – Title: Distributed Autonomy and Formation Control of a Drifting Swarm of Autonomous Underwater Vehicles (Aug/Sep 2015)
- Proposed AUV Experimentation:
 - Range to neighbours determined using acoustic pingers, time-of-flight, and synced AUV clocks (CSAC)
 - Bearing to neighbours determined using hydrophone array or vector sensors
 - Unique IDs communicated using acoustic modem or unique pinger frequencies



Simulation Video



- 30s between simulated acoustic pings
- Gaussian noise on array:
 - 1.5m variance range
 - 5 degrees variance bearing
- 1500m/s sound speed
- Simulated acoustic max range: 550m
- Simulated currents $O(10\text{cm/s})$



References (1)

- G. Beni, *From Swarm Intelligence to Swarm Robotics*. Proceedings of the 2004 International Conference on Swarm Robotics, 1-9, 2005.
 - A. Jevtic, A. Gutierrez, D. Andina, M. Jamshidi, *Distributed Bees Algorithm for Task Allocation in Swarm of Robots*. IEEE Systems Journal, Volume 6, Issue 2, 296-304, 2012.
 - C.W. Reynolds, *Flocks, Herds and Schools: A Distributed Behavioral Model*. Proceedings of the 14th Annual Conference on Computer Graphics and Interactive Techniques, 25-34, 1987.
 - A. Shklarsh, G. Ariel, E. Schneidman, E. Ben-Jacob, *Smart Swarms of Bacteria-Inspired Agents with Performance Adaptable Interactions*. PLoS Computational Biology, Volume 7, Issue 9, 1-11, 2011.
 - E. Bahceci, O. Soysal, E. Sahin, *A Review: Pattern Formation and Adaptation in Multi-Robot Systems*. Tech. Report, Robotics Institute, Carnegie Mellon University, 2003.
 - Y.Q. Chen, Z. Wang, *Formation Control: A Review and A New Consideration*. IEEE/RSJ International Conference on Intelligent Robots and Systems, 3181-3186, 2005.
 - W. Spears, et al., *Distributed, physics-based control of swarms of vehicles*. Autonomous Robots, Volume 17, Issue 2-3, 137-162, 2004.
 - C. Pinciroli, et al., *Self-organizing and scalable shape formation for a swarm of pico satellites*. NASA/ESA Conference on Adaptive Hardware and Systems, 2008.
 - V. Gazi, K.M. Passino, *A class of attraction/repulsion functions for stable swarm aggregations*. 41st IEEE Conference on Decision and Control, Volume 3, 2842-2847, 2002.
 - K. Fujibayashi, et al., *Self-organizing formation algorithm for active elements*. 21st IEEE Symposium on Reliable Distributed Systems, 2002.
 - B. Shucker, J.K. Bennett, *Scalable Control of Distributed Robotic Macrosensors*. Distributed Autonomous Robotic Systems 6, Part 9, 379-388, 2007.
-



References (2)

- R. Bachmayer, N.E. Leonard, *Vehicle networks for gradient descent in a sampled environment*. 41st IEEE Conference on Decision and Control, Volume 1, 112-117, 2002.
- L. Chaimowicz, N. Michael, V. Kumar, *Controlling Swarms of Robots Using Interpolated Implicit Functions*. IEEE International Conference on Robotics and Automation, 2487-2492, 2005.
- M.A. Lewis, K.H. Tan, *High Precision Formation Control of Mobile Robots Using Virtual Structures*. Journal of Autonomous Robots, Volume 4, Issue 4, 387-403, 1997.
- C. Belta, V. Kumar, *Motion generation for formations of robots: A geometric approach*. IEEE International Conference on Robotics and Automation, Volume 2, 1245-1250, 2001.
- J.P. Desai, J.P. Ostrowski, V. Kumar, *Modeling and control of formations of nonholonomic mobile robots*. IEEE Transactions on Robotics and Automation, Volume 17, Issue 6, 905-908, 2001.
- Z. Hu, C. Ma, L. Zhang, A. Halme, *Distributed formation control of autonomous underwater vehicles with impulsive information exchanges and disturbances under fixed and switching topologies*. IEEE International Symposium on Industrial Electronics, 99-104, 2014.
- S. Kalantar, U.R. Zimmer, *Distributed shape control of homogeneous swarms of autonomous underwater vehicles*. Autonomous Robots, Volume 22, Issue 1, 37-53, 2007.
- J. Shao, J. Yu, L. Wang, *Formation Control of Multiple Biomimetic Robotic Fish*. IEEE International Conference on Intelligent Robots and Systems, 2503-2508, 2006.
- A. Amory, et al., *Towards Fault-Tolerant and Energy-Efficient Swarms of Underwater Robots*. IEEE International Parallel and Distributed Processing Symposium Workshops & PhD Forum, 1550-1553, 2013.
- T. Schmickl, et al., *CoCoRo-The Self-Aware Underwater Swarm*. IEEE Conference on Self-Adaptive and Self-Organizing Systems Workshops, 120-126, 2011.

